



SMALL ENTITY STATEMENT
[37 CFR 1.9(c-f)]
(Universal form)

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TECHNICAL

Each undersigned states that:

- (1) ☒ the application filed herewith entitled
(2) ☒ U.S. Application No. 09/585,157

ANTIFUNGAL AND ANTIMYCOBACTERIAL BASILISKAMIDES
filed June 1, 2000

is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of one of the following:

(4) ☐ The undersigned states that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.9(c).

(5) ☐ The undersigned states that he/she is an official empowered to act on behalf of the small business concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.9(d).

(6) ☒ The undersigned states that he/she is an official empowered to act on behalf of the non-profit organization identified below; that this organization qualifies as a nonprofit organization of the following type:

TYPE OF ORGANIZATION

- (6a) ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION IN ANY COUNTRY
(6b) ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3))
(6c) ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
(6d) ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
(6e) ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

(7) Each person, concern, or organization to which I/we have assigned, granted, conveyed, or licensed, or am/are under an obligation, under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

(7a) ☐ no such person, concern, or organization

(7b) ☒ persons, concerns or organizations listed below **MUST** complete (7c)

(7c) FULL NAME THE UNIVERSITY OF BRITISH COLUMBIA

of assignee/licensee/grantee/conveyer*

Address Industry Liaison Office, IRC Rm 331, 2194 Health Sciences Mall, Vancouver, BC V6T 1Z3

X proper box: ☐ Individual ☐ Small Business Concern ☒ Nonprofit Organization

*NOTE: A separate statement is required from each named person, concern, or organization having rights to this invention stating status as a "small entity".

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(B))

(9) THE UNIVERSITY OF BRITISH COLUMBIA

Name of Small Business Concern or Nonprofit Organization

Jura C. Zibas, Reg. No. 34,760

Typed Name

By

Signature

Date

Attorney for Assignee

Title of Signatory

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope, addressed to Box _____, Commissioner for Patents, Washington D.C. 20231-9999 on 10/23/01

Dated: 10/23/01 By: Guy Powers